5/16/2024

DIT-T Unit: PMO			Methodology Owner/Contact: Cheryl Ritt	er			Date Methodology Initially Added: 03/16/2020					
Methodology Name	e: PMC	O Lite	Quality Management Plan Used: Enterpris	se			Date Last Updated: 05/17/2024					
			Deliverable Repository: Project's Team Site in '_Key Project Documentation'									
Methodology Type: Waterfall		erfall	folder					Comments:				
Methodology Type: Waterian			10 del									
						PMO		Mapping ted Text = Differences from E-SDLC				
	-					PIVIO		P	led Text - Differences from E-3DEC			
				Reg'd								
				for	Audit	Lite						
Phase	ID	Key Deliverable	Minimum Requirement	Audit	Control	(Medium Risk)	Req'd	Phase	Key Deliverable			
Business Concept	1	Work Request	William Requirement	Y	ITGC03	v	Y	Business Concept	Business Project Request			
Business Concept	2	Alternatives Analysis	If required	'	ITGC03	'	<u>'</u>	business concept	business Project nequest			
business concept	-	Alternatives Alialysis	Min: Scope of Work, Resource Plan, Cost		110003							
Business Concept	2	Preliminary Business Case	Plan, Start/End Dates		ITGC03	Y	Υ	Business Concept	Preliminary Business Case			
Business Concept	4	Business Concept Exit Quality Review	Tian, Start/End Dates		110003	Y	Y	Business Concept	Business Concept Stage (Lite) Checklist			
business concept	7	Business concept Exit Quanty Neview				l	'	business concept	Business Concept Stage (Lite) Checklist			
			Min: Work Definition, Resources,									
Initiation	5	Scope of Work	Estimated Cost, Start/End Dates	Υ	ITGC03	Υ	Y	Initiation	Project Charter			
Initiation	6	Scope of Work Approval	DOT Business & DIT-T approval required	Υ	ITGC03	Υ	Y	Initiation	Approval contained in Project Charter			
Initiation	7	Business Case			ITGC03	Υ	Υ	Initiation	Business Case			
Initiation	8	Benefits			ITGC03	Υ	Y	Initiation	Benefits SharePoint List on project site			
Initiation	9	Business Case Quality Review				Y	Y	Initiation	Business Case DP Checklist			
Initiation	10	EPMO Business Case DP Approval	DIT EPMO & OSBM approved required			Y	Υ	Initiation	EPMO Business Case Approval			
Initiation	11	Cost Plan			ITGC03	Υ	Υ	Initiation	Cost Estimate Workbook			
Initiation	12	Schedule			ITGC03	Υ	Υ	Initiation	Schedule in MS Project			
Initiation	13	Change Management Plan			ITGC03	Y	Υ	Initiation	Changement Management Plan on DOT PMO site			
Initiation	14	Procurement Plan	If procuring resources, products, services		ITGC03							
Initiation	15	Communication Plan			ITGC03	Υ	Υ	Initiation	Communication Plan			
Initiation	16	Organization Chart			ITGC03	Υ	Υ	Initiation	Project Organization			
									Project Quality Management Plan			
Initiation	17	Quality Management Plan		Υ	ITGC03	Υ	Υ	Initiation	and includes Enterprise Quality Management Plan			
									Assessment Type PDP			
Initiation	18	Risk Assessment/Mitigation Plan			ITGC03	Υ	Υ	Initiation	Risks List on project's SharePoint site			
Initiation	19	Issues Log			ITGC03	Υ	Υ	Initiation	Issues List on project's SharePoint site			
			Required if application not previously									
			documented and/or if changing any									
			privacy information.									
			DIT-T Security & DIT									
Initiation	20	Privacy Threshold Analysis (PTA)	Approval required.	Υ	ITGC01	Υ	Υ	Initiation	Privacy Threshold Analysis (PTA)			
Initiation	21	Initiation Exit Quality Review			ITGC04	Y	Υ	Initiation	Initiation Stage (Lite) Checklist			
									Change Request Log			
Planning & Design	22	Project Change Request Log				Y	Υ	Planning & Design	Name: Change Request Log			
i aming & Design		roject change nequest Log	+			'	'	r ranning & Design	Change Request			
									Name: CRnn DOT Signed (see Change Management			
									Plan for other documents associated with the			
Planning & Design	23	Project Change Request	DIT-T and Business Approval		ITGC03	Y	Υ	Planning & Design	change)			
Planning & Design	24	Project Change Request Quality Review	Dir i dila basilless Approval		110003	Y	Y	Planning & Design	Change Request Checklist			
rianining & Design	24	r roject change nequest Quanty Review				T T	T	riaiiiiiiig & Design	Change nequest Checklist			

Rev. 2.1 | 05/17/2024

5/16/2024

						PMO		Mapping Red Text = Differences from E-SDLC		
Phase	ID	Key Deliverable	Minimum Requirement	Req'd for Audit	Audit Control	Lite (Medium Risk)	Req'd	Phase	Key Deliverable	
Diamina & Dasian	25	EDMO Change Degreet DD Americal	EDNAG and /ar OCDNA annual (if required)			V	v	Diamaina ( Dasian	EPMO Change Request Approval	
Planning & Design Planning & Design	25 26	EPMO Change Request DP Approval SAP Cost Exception Report	EPMO and/or OSBM approval (if required)			Y	Y	Planning & Design Planning & Design	Name: CRnn State Signed SAP Cost Exception Report	
					ITGC03	Y	Y		·	
Planning & Design	27	Requirements Management Plan	March has a march and have Described as a mid of how		ITGC03	Y	Y	Planning & Design	Requirements Management Plan	
			Must be approved by Business and other							
Diameter 0 Design	20	D	stakeholders, including Architecture,	γ	ITGC02		V	Diamaina C Davies	Task and any Calleting Demoissance to Demoissance (TCDD)	
Planning & Design	28	Requirements	Security, Infrastructure, etc.	Y	ITGC03	Y	Y	Planning & Design	Technology Solution Requirements Document (TSRD)	
			Required on all sizes of work except on		ITGC01					
			small operational work, this may be		ITGC02					
Planning & Design	29	Requirements Approval	included in Work Definition.	Υ	ITGC03	Y	Υ	Planning & Design	Approval contained in TSRD	
Planning & Design	30	Requirements Traceability Matrix				Y	Y	Planning & Design	Requirements Traceability Matrix	
					ITGC01					
Planning & Design	32	Architecture Design			ITGC02	Y	Υ	Planning & Design	Architecture Design in iServer	
Planning & Design	33	Architecture DP Quality Review	If required by EPMO			Υ	Υ	Planning & Design	Architecture DP Checklist	
			If required by EPMO		ITGC01					
Planning & Design	34	EPMO Architecture DP Approval	DIT Architecture Approval required		ITGC02	Y	Υ	Planning & Design	EPMO Architecture DP Approval	
Planning & Design	35	Security DP Quality Review	If required by EPMO			Y	Υ	Planning & Design	Security DP Checklist	
			If required by EPMO		ITGC01					
Planning & Design	36	EPMO Security DP Approval	DIT Approval Required		ITGC02	Υ	Υ	Planning & Design	EPMO Security DP Approval	
			If required, complete							
Planning & Design	37	Architecture Exception Request	DIT Approval Required			Υ	Υ	Planning & Design	Architecture Exception Request	
			If required, complete							
Planning & Design	38	NCID Exception Request	DIT Approval Required		ITGC01	Y	Υ	Planning & Design	NCID Exception Request	
			If required, complete							
Planning & Design	39	Security Exception Request	DIT Approval Required		ITGC01	Υ	Υ	Planning & Design	Security Exception Request	
Planning & Design	40	Design Document	, i	Υ	ITGC03	Υ	Υ	Planning & Design	Design Document	
. 0 0			Business and other stakeholders					. 0 0		
Planning & Design	41	Design Approval	approvals	Υ	ITGC03	Y	Υ	Planning & Design	Approval contained in Design Document	
Planning & Design	42	Business Continuity Plan	If required		ITGC03	Υ	Υ	Planning & Design	Business Continuity Plan	
Planning & Design	43	Disaster Recovery Plan	If required		ITGC03	Y	Y	Planning & Design	Disaster Recovery Plan	
Planning & Design	44	Training Plan	If required		ITGC03	Y	Y	Planning & Design	Training Plan	
Planning & Design	45	Test Plan	n reganea			Y	Y	Planning & Design	Test Plan	
Planning & Design	46	Acceptance Process and Criteria			ITGC03	Y	Y	Planning & Design	Acceptance Process and Criteria	
ridining & Design	-10	/ toooptande i roooss and enteria	Must be approved by Business and other		110003			riaming & Design	Approval contained in Acceptance Process and	
Planning & Design	47	Acceptance Process and Criteria Approval	stakeholders		ITGC03	Y	Υ	Planning & Design	Criteria document	
ridining & Design		Acceptance Frocess and enteria Approval	Required if there are changes to the		110003	·	<u> </u>	r turning & Design	Criteria document	
Planning & Design	48	Operational Level Agreement (OLA)	current OLA.		ITGC04	Y	Y	Planning & Design	Operational Level Agreement (OLA)	
i idilililig & Desigli	70	Operational Level Agreement (OLA)	If required		110004	1	-	r tariffing & Design	Operational Level Agreement (OLA)	
Planning & Design	49	Approval	Must be approved by IT Stakeholders		ITGC04	Y	Y	Planning & Design	Approval contained in OLA	
Planning & Design	50	Business Approval to Exit P&D	iviust be approved by IT Stakeholders		ITGC04	Y	Y	Planning & Design	Business Approval to Exit P&D	
	50	P&D Exit Quality Review			ITGC03	Y	Y		• • • • • • • • • • • • • • • • • • • •	
Planning & Design	21	. ,			110004	Y	Y	Planning & Design	Planning & Design Stage (Lite) Checklist	
Planning & Design		If RFP required, deliverables below:						Planning & Design	If RFP required, deliverables below:	
Planning & Design	52	RFP Request for Commodity/Service Form			ITGC04	Y	Υ	Planning & Design	RFP Request for Commodity/Service Form	

Rev. 2.1 | 05/17/2024

5/16/2024

						DNAG		Mapping Red Text = Differences from E-SDLC		
Phase	ID	Key Deliverable	Minimum Requirement	Req'd for Audit	Audit Control	Lite (Medium Risk)	Req'd	Phase	Key Deliverable	
Planning & Design	53	RFP Disaster Recovery Assessment			ITGC04	Υ	Υ	Planning & Design	RFP Disaster Recovery Assessment	
Planning & Design	54	RFP Sourcing Exception Request	If required for the RFP		ITGC04	Y	Υ	Planning & Design	RFP Sourcing Exception Request	
Planning & Design	55	RFP Hosting Exception Request	If required for the RFP		ITGC04	Υ	Υ	Planning & Design	RFP Hosting Exception Request	
Planning & Design	56	RFP and Attachments			ITGC03 ITGC04	Υ	Υ	Planning & Design	RFP and Attachments	
Planning & Design	E 7	RFP Proposal Evaluation Plan			ITGC03 ITGC04	Υ	Υ	Dlanning & Docign	DED Dronocal Evaluation Plan	
Planning & Design	58	RFP Content Submission			TIGC04	Y	Y	Planning & Design	RFP Proposal Evaluation Plan RFP Content Submission	
Planning & Design	58	KFF Content Submission			ITGC03	Y	Y	Planning & Design	KFF COITEEIT SUDIIIISSIOII	
Planning & Design	59	RFP Individual Evaluation Workbooks			ITGC03	Υ	Υ	Planning & Design	RFP Individual Evaluation Workbooks	
		RFP Oral Presentation/Demonstration			ITGC03					
Planning & Design	60	Guide			ITGC04	Υ	Υ	Planning & Design	RFP Oral Presentation/Demonstration Guide	
Planning & Design	61	RFP Consensus Evaluation Workbook			ITGC03	Υ	Υ	Planning & Design	RFP Consensus Evaluation Workbook	
		RFP Evaluation Report with Award			ITGC03				RFP Evaluation Report with Award	
Planning & Design	62	Recommendation			ITGC04	Υ	Υ	Planning & Design	Recommendation	
			Business and other stakeholders		ITGC03					
Planning & Design	63	RFP Evaluation Report Approvals	approvals required.		ITGC04	Υ	Υ	Planning & Design	RFP Evaluation Report Approvals	
Planning & Design	64	RFP CIO Presentation				Υ	Υ	Planning & Design	RFP CIO Presentation	
Planning & Design	65	RFP Evaluation Review Checklist				Υ	Υ	Planning & Design	RFP Evaluation Review Checklist	
Planning & Design	66	EPMO RFP Review DP Approval	DPMO Approval Required			Υ	Υ	Planning & Design	EPMO RFP Review DP Approval	
Name of Paris	67	EPMO Contract Award Review DP	DDMO Assessed Bassiss d				V	Diameter & Design	EDAG Contract August Davison DD August I	
Planning & Design	67	Approval	DPMO Approval Required			Y	Υ	Planning & Design	EPMO Contract Award Review DP Approval  If Invitation for Bid (IFB) required, deliverables	
Dlanning & Docign		If Invitation for Bid (IFB) required, deliverables below:						Dlanning & Docign	below:	
Planning & Design		deliverables below.			ITGC03			Planning & Design	below.	
Planning & Design	68	IFB Request for Commodity/Service Form			ITGC03	Y	Υ	Planning & Design	IFB Request for Commodity/Service Form	
Flatilling & Design	08	IFB Request for Commodity/Service Form			ITGC04	'	1	Flatilling & Design	IFB Request for Commodity/Service Form	
Planning & Design	69	IFB Disaster Recovery Assessment	If required for IFB		ITGC03	Y	Υ	Planning & Design	IFB Disaster Recovery Assessment	
Planning & Design	70	IFB Sourcing Exception Request	If required for IFB		ITGC04	Y	Y	Planning & Design	IFB Sourcing Exception Request	
Planning & Design	71	IFB Hosting Exception Request	If required for IFB	1	ITGC04	Y	Y	Planning & Design	IFB Hosting Exception Request	
r tarring & D congri	+	b Hosting Exception Request		1	ITGC03		•	r tarring & Design	III 2 Trooting Exception Request	
Planning & Design	72	IFB and Attachments			ITGC04	Y	Υ	Planning & Design	IFB and Attachments	
r iamming on D congin					ITGC03		·	r tarring & 2 colgir		
Planning & Design	73	IFB Statement of Work	May be required for Extensive Services		ITGC04	Υ	Υ	Planning & Design	IFB Statement of Work	
Planning & Design	74	IFB Bid Review Checklist				Υ	Υ	Planning & Design	IFB Bid Review Checklist	
			Business and other stakeholders							
Planning & Design	75	IFB Award Approval	approvals required.		ITGC04	Υ	Υ	Planning & Design	IFB Award Approval	
		IF Request for Quote (RFQ) required,							IF Request for Quote (RFQ) required, deliverables	
Planning & Design		deliverables below:						Planning & Design	below:	
					ITGC03					
Planning & Design	76	RFQ Request for Commodity/Service Form			ITGC04	Y	Υ	Planning & Design	RFQ Request for Commodity/Service Form	
					ITGC03					
Planning & Design	77	RFQ Disaster Recovery Assessment	If required for RFQ		ITGC04	Υ	Υ	Planning & Design	RFQ Disaster Recovery Assessment	
Planning & Design	78	RFQ Sourcing Exception Request	If required for RFQ		ITGC04	Υ	Υ	Planning & Design	RFQ Sourcing Exception Request	

Rev. 2.1 | 05/17/2024

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						PMO		ľ	Red Text = Differences from E-SDLC
Phase IE	ID	Key Deliverable	Minimum Requirement	Req'd for Audit	Audit Control	Lite (Medium Risk)	Reg'd	Phase	Key Deliverable
Planning & Design 79		RFQ Hosting Exception Request	If required for RFQ	Audit	ITGC04	v v	Y	Planning & Design	RFQ Hosting Exception Request
Fidililing & Design 73	9	KFQ Hosting Exception Request	in required for KFQ		ITGC04	I	1	Fidilillig & Design	RFQ Hosting Exception Request
Planning & Design 80	0	RFQ and Attachments			ITGC04	Υ	Υ	Planning & Design	RFQ and Attachments
Planning & Design 81	1	RFQ Market Research			ITGC03 ITGC04	Υ	Υ	Planning & Design	RFQ Market Research
Planning & Design 82	2	RFQ Estimate or Unofficial Quote			ITGC04	Υ	Υ	Planning & Design	RFQ Estimate or Unofficial Quote
Planning & Design 83	3	RFQ Statement of Work			ITGC03 ITGC04	Υ	Υ	Planning & Design	RFQ Statement of Work
					ITGC03				
Planning & Design 84	4	RFQ Quote Review Document			ITGC04	Υ	Υ	Planning & Design	RFQ Quote Review Document
			Business and other stakeholders						
Planning & Design 85	5	RFQ Award Approval	approvals required.		ITGC04	Υ	Υ	Planning & Design	RFQ Award Approval
Planning & Design		If Contract Modifications through Amendment required, deliverables below:						Planning & Design	If Contract Modifications through Amendment required, deliverables below:
		Amendment Request for			ITGC03				
Planning & Design 86	6	Commodity/Service Form			ITGC04	Υ	Υ	Planning & Design	Amendment Request for Commodity/Service Form
		**	May be required if change in scope of		ITGC03	-			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
Planning & Design 87	7	Assessment	work		ITGC04	Υ	Υ	Planning & Design	Amendment Disaster Recovery Assessment
Planning & Design 88	_	Amendment Sourcing Exception Request	If required for Ammendment		ITGC04	Y	Y	Planning & Design	Amendment Sourcing Exception Request
Planning & Design 89	_	Amendment Hosting Exception Request	If required for Ammendment		ITGC04	Y	Υ	Planning & Design	Amendment Hosting Exception Request
Training or a sergin ser		3			ITGC03				<b>6</b> 11 11 11 11 11 11 11 11 11 11 11 11 11
Planning & Design 90	0	Admendment and Attachments			ITGC04	Υ	Υ	Planning & Design	Admendment and Attachments
					ITGC03				
Planning & Design 91	1	Amendment Market Research			ITGC04	Υ	Υ	Planning & Design	Amendment Market Research
					ITGC03				
Planning & Design 92	2	Amendment Estimate or Unofficial Quote			ITGC04	Υ	Υ	Planning & Design	Amendment Estimate or Unofficial Quote
			May be required for additional work		ITGC03				
Planning & Design 93	3	Amendment Statement of Work	within scope of work in existing contract		ITGC04	Υ	Υ	Planning & Design	Amendment Statement of Work
			Business and other stakeholders						
Planning & Design 94	_	Ammendment Approval	approvals required.		ITGC04	Υ	Υ	Planning & Design	Ammendment Approval
		IF Request for Information (RFI),							
Planning & Design	(	deliverables below:						Planning & Design	IF Request for Information (RFI), deliverables below:
	_	DEL			ITGC03			DI : C - :	DEL
Planning & Design 95	5	RFI			ITGC04	Y	Υ	Planning & Design	RFI
	T				ITGC01				
Execute & Build 31	1_	Application Architecture Design (AAD)	DIT-T Architecture Approval Required	Υ	ITGC02	Υ	Υ	Execute & Build	Application Architecture Design (AAD)
		Code Structural Quality Analysis (CAST)							
Execute & Build 96	6 1	Report	If required			Υ	Υ	Execute & Build	Code Structural Quality Analysis (CAST) Report
Execute & Build 97	7	Component Integration Test (CIT) Approved		Υ	ITGC02	Υ	Υ	Execute & Build	Component Integration Test (CIT) Approved
Execute & Build 98	_	System Integration Test (SIT) Approved		Y	ITGC02	Y	Y	Execute & Build	System Integration Test (SIT) Approved
Execute & Build 99		Performance Testing Approved	If required			Y	Y	Execute & Build	Performance Testing Approved
	_	IT Testing Complete Approval		Υ	ITGC02	Y	Y	Execute & Build	IT Testing Complete Approval

Rev. 2.1 | 05/17/2024

5/16/2024

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Phase	ID	Key Deliverable	Minimum Requirement	Req'd for Audit	Audit Control	Lite (Medium Risk)	Req'd	Phase	Key Deliverable
		-	IT management involves users in the						
			design of applications, selection of						
Execute & Build		·	packaged software and testing thereof.	Υ	ITGC03	Y	Υ	Execute & Build	User Acceptance Plan and Script
Execute & Build	102	Sponsor Approved UAT		Υ	ITGC03	Y	Υ	Execute & Build	Sponsor Approved UAT
Execute & Build	103	Implementation Plan				Υ	Υ	Execute & Build	Implementation Plan
Execute & Build	104	Go Live Readiness Assessment		Υ	ITGC03	Υ	Υ	Execute & Build	Project Go Live Readiness Assessment
Execute & Build	105	Go Live Approval	Business and other Stakeholders approval	Υ	ITGC03	Y	Y	Execute & Build	Project Go Live Approval
Execute & Build	106	Project Go Live Readiness DP Quality Review	If required						
			If required.						
Execute & Build			EPMO approval						
Execute & Build	108	E&B Exit Quality Review							
Implementation	109	Business Approval to Close the Project			ITGC03	Y	Υ	Implementation	Business Approval to Close the Project
Implementation	110	Implementation Exit Quality Review							
Closeout	111	SAP Commitments Reconciled				Υ	Υ	Closeout	SAP Commitments Reconciled
Closeout	112	Lessons Learned Survey				Υ	Υ	Closeout	Lessons Learned Survey
Closeout	113	EPMO Lessons Learned				Υ	Υ	Closeout	In EPMO Lessons Learned Database
Closeout	114	EPMO Closeout Report				Y	Y	Closeout	EPMO Closeout Report
Closeout	115	Closeout Quality Review				Y	Y	Closeout	Closeout Stage (Lite) Checklist

Rev. 2.1 | 05/17/2024